Wealth Management Services

Asset Management & Financial Planning Packages

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Plan - Choose 1	*Essential*	*Advanced*	*Premier*	*Platinum*
Account Value	$10k - $249,999	$250k - $999,999	$1MM - $4,999,999	$5MM +
Annual Advisory Fee - AUM (Assets Under Management)	**1.50%**	**1.25%**	**1.00%**	**Negotiable**
Financial Plan Only Fee - 1 Time or Monthly - No AUM	$800 / $99 Mo	$2500 / $149 Mo	$4500 / $299 Mo	$7500 / $499 Mo
Investment Costs - Ticket Charges & Annual Fees (See Attached)	$40 Annual & All Applicable Fees	All Applicable Fees	All Applicable Fees	All Applicable Fees
Annual Contacts	2	4	12	12+
Services Included	***Essential***	***Advanced***	***Premier***	***Platinum***
Goal Review	✓	✓	✓	✓
Cash Analysis	✓	✓	✓	✓
Portfolio Review	✓	✓	✓	✓
Risk Tolerance	✓	✓	✓	✓
Investment Research	✓	✓	✓	✓
Investment Selection / Portfolio Construction	✓	✓	✓	✓
Asset Allocation / Rebalancing	✓	✓	✓	✓
Gain / Loss Harvesting (if applicable)	✓	✓	✓	✓
Net Worth Statement	✓	✓	✓	✓
Retirement Check Up / Basic Plan	✓	✓	✓	✓
Behavioral Financial Coaching	✓	✓	✓	✓
Monthly Newsletter	✓	✓	✓	✓
Online Access	✓	✓	✓	✓
Online Financial Portal	X	✓	✓	✓
Multiple Goal Analysis - Including Detailed Cash Flow Analysis	X	✓	✓	✓
Written Market Downturn Plan	X	✓	✓	✓
Basic Estate Planning	X	✓	✓	✓
Protection Review	X	✓	✓	✓
Securities Based Lending	X	✓	✓	✓
Retirement Income Plan	X	✓	✓	✓
Charitable Giving Strategies	X	✓	✓	✓
Tax Planning	X	✓	✓	✓
Collaboration with 3rd Party Professionals (CPA, Attorney, etc...)	X	✓	✓	✓
Wealth Transfer Strategies	X	X	✓	✓
Complimentary Lifestyle Magazine Subscription (if you currently have an email address)	X	X	✓	✓
Business Planning - Including Business Retirement Plans	X	X	✓	✓
Technology Set Up & Ongoing Support	X	X	X	✓
Vendor Affinity Partnerships	X	X	X	✓

We charge an initial consultation fee of **$149.***

*This Fee will be refunded if we are engaged or waived at our discretion.